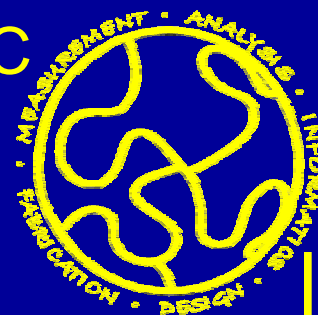


Application of Library Design and Calibration to a Real Problem

Paul Smith, Amit Sehgal

NCMC-1: Library Design and Calibration

April 26th, 2002



Combinatorial Methods at NIST

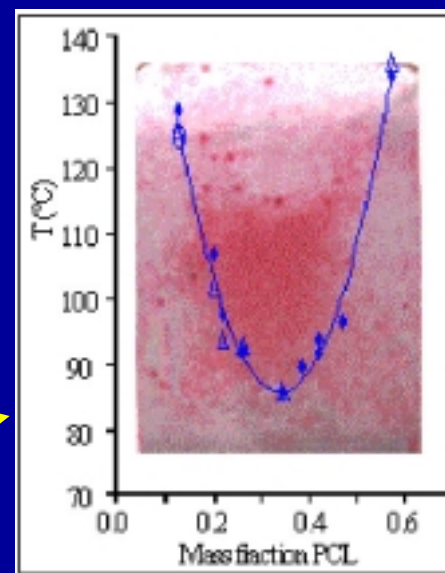
Polymers

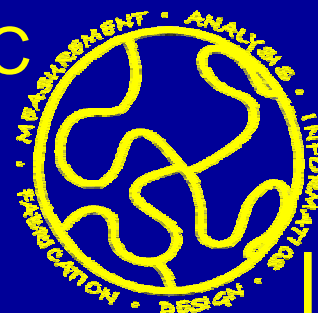
- Block Copolymers
- Adhesion
- Formulations
- Crystallization
- Fire Retardants (BFRL)



Biomaterials

- Biocompatibility
- Bio-adhesion
- Cell Growth
- Patterned Activity
- Recognition

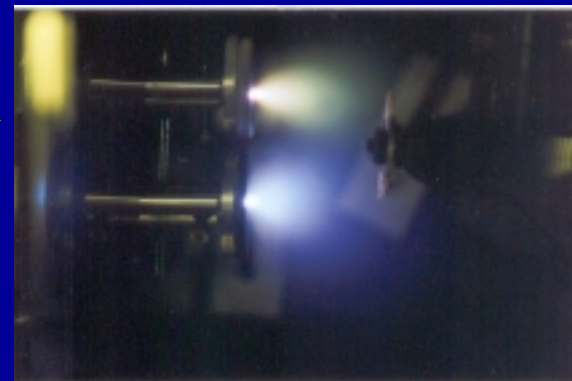




Combinatorial Methods at NIST

Metals and Alloys

- Dielectric Oxide Films
- Semiconductors

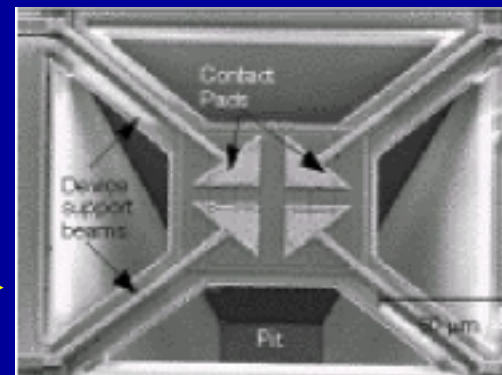


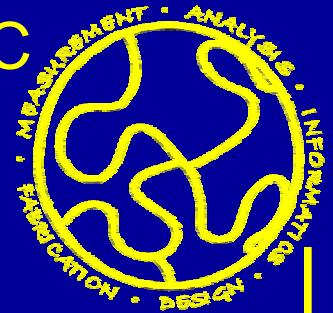
Chemical Analysis

- Chemical Microscopy by SIMS
- High-throughput IR Imaging

Thermal Properties

- Service Life Prediction
- Micro-hotplate Arrays





Review of Gradient Library Procedure

Design

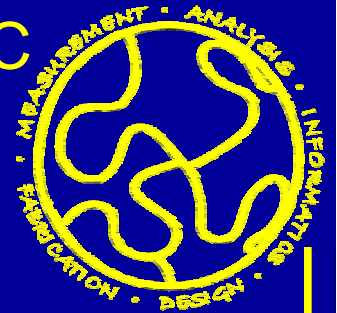
1. Define problem / parameter space
2. Survey existing techniques
3. Develop and evaluate
4. Refine the method
5. Extend the method

Calibration

1. Defect analysis
2. Set up spatial reference grid
Mesh of calibration measurements
3. Determine library errors
Resolution
Tolerance
Uncertainty
4. Combinatorial measurements
5. Refine the procedure

Design

NCMC



Definition of problem

Block copolymer thin film behavior

Initial gradient library

Film thickness gradient

Adding an orthogonal library

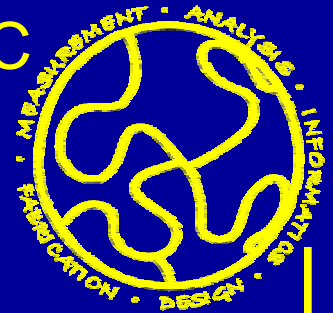
Thickness and surface energy

Exploring three dimensions

Thickness and temperature and time

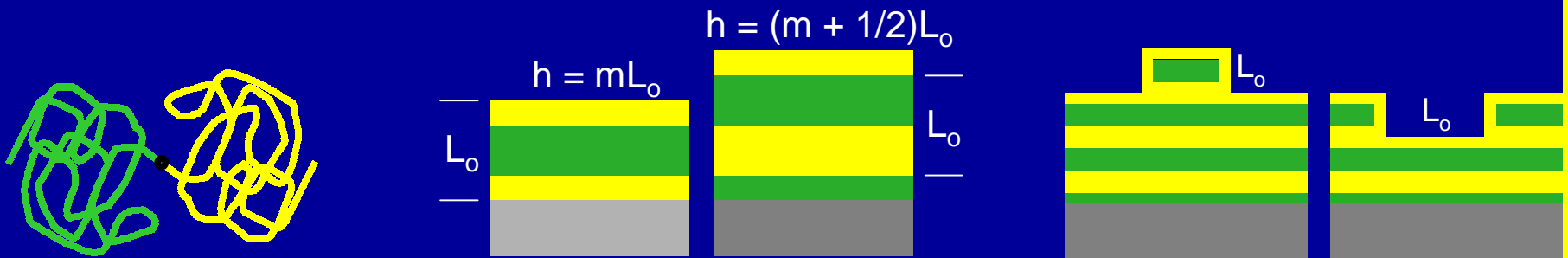
Calibration

NIST

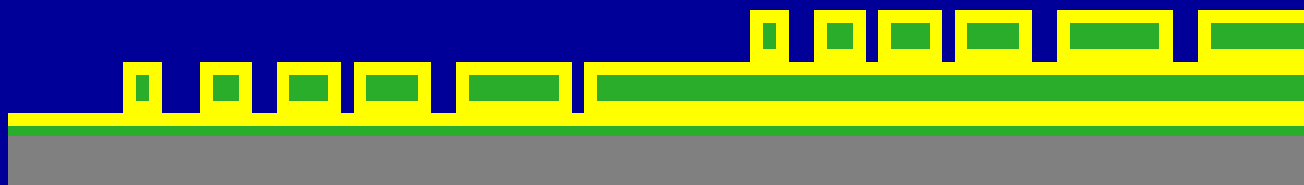


Define Problem / Parameter Space

Block copolymer thin film morphology

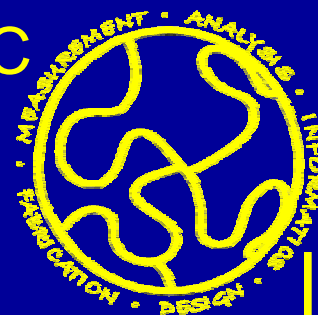


Two polymers joined together form lamellae that lay parallel to the substrate
 For certain film thickness, complete lamella form and the surface is smooth
 Otherwise incomplete lamella form and the surface is not smooth



Want to cast thickness gradient to study morphology of these films

Thickness range $\approx 40 - 120$ nm



Fabricate Library and Evaluate

Thickness gradient range lends itself to flow coater

1st attempt:

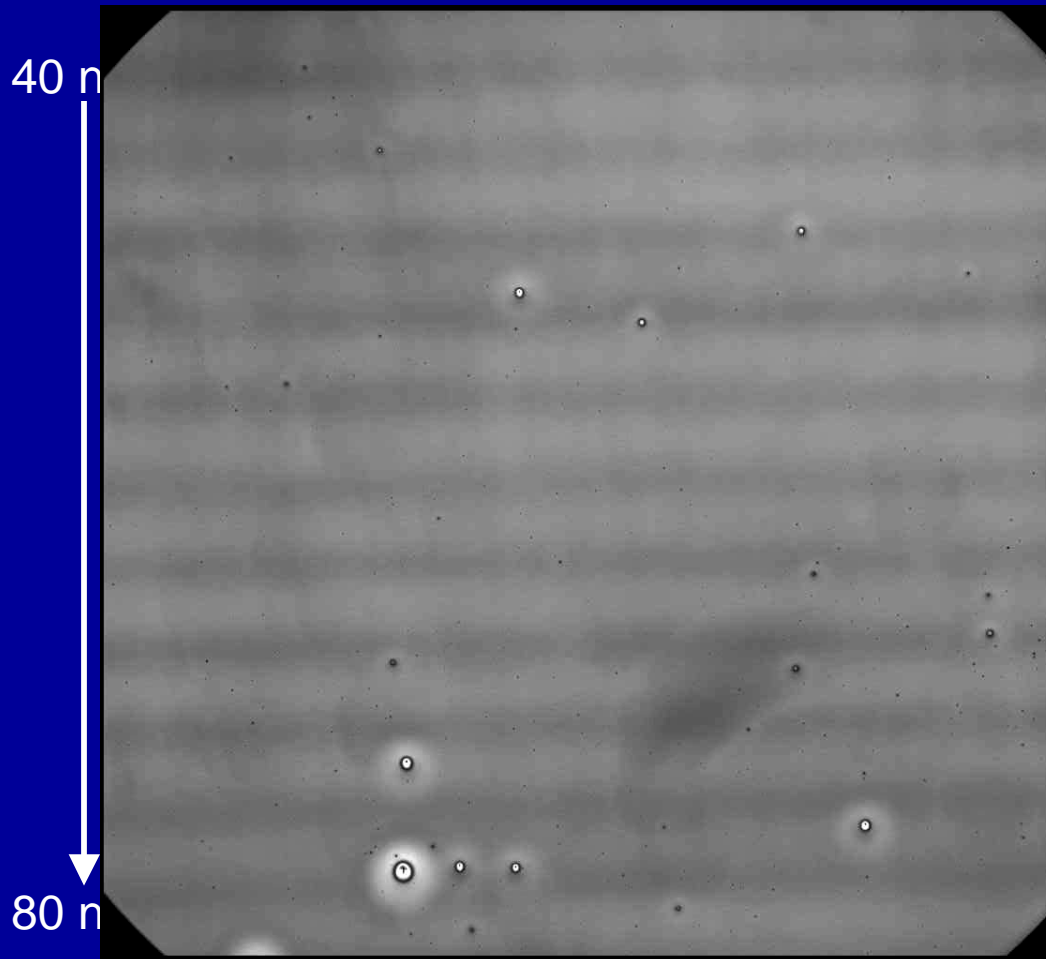
PS-b-PMMA in toluene

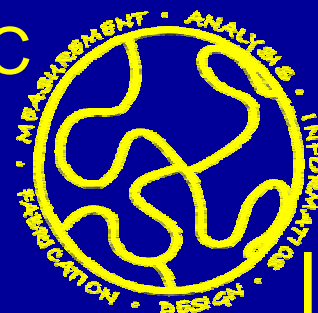
Result:

Gradient produced but horizontal stripes are thickness variations

Diagnosis:

Toluene isn't an optimal solvent for both blocks, need to find a better solvent





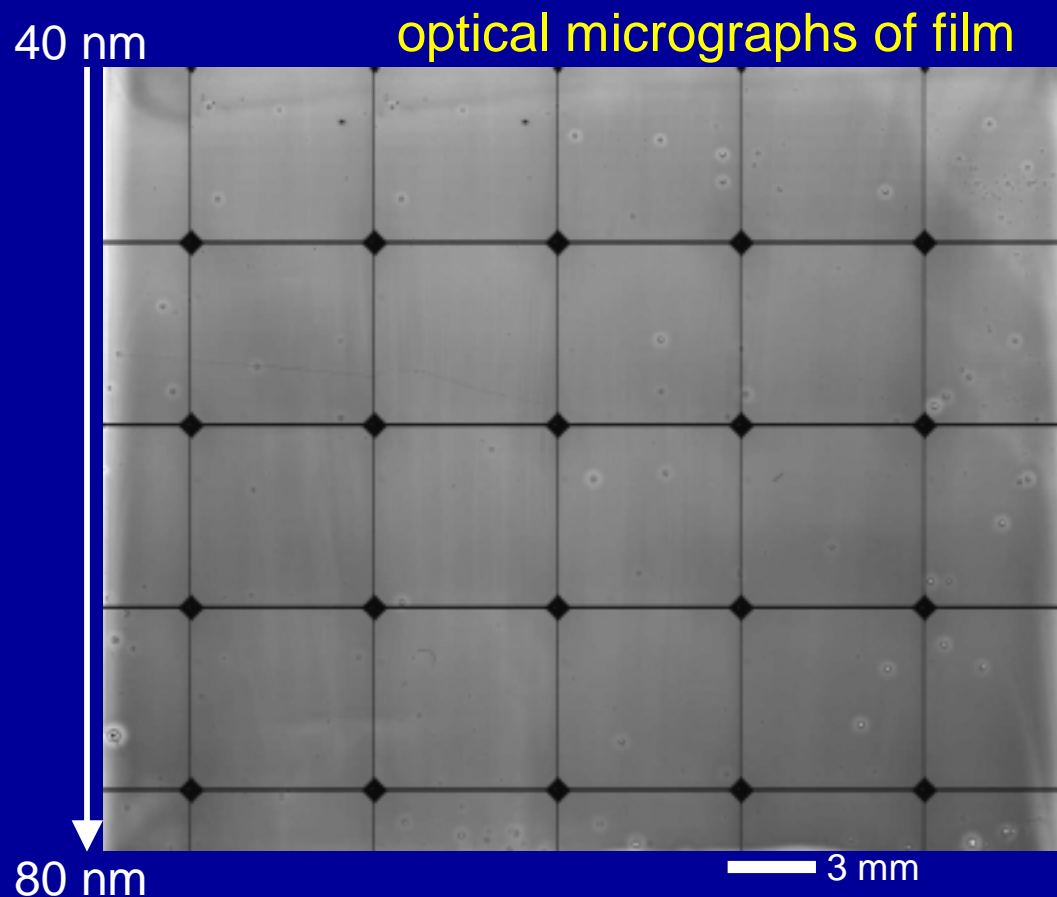
Refine Library Development

Now test different solvents to find one to produce smooth gradients

Determine a 50/50 mixture of toluene and chloroform give optimal combination of defect free film and gradient slope

2nd attempt:

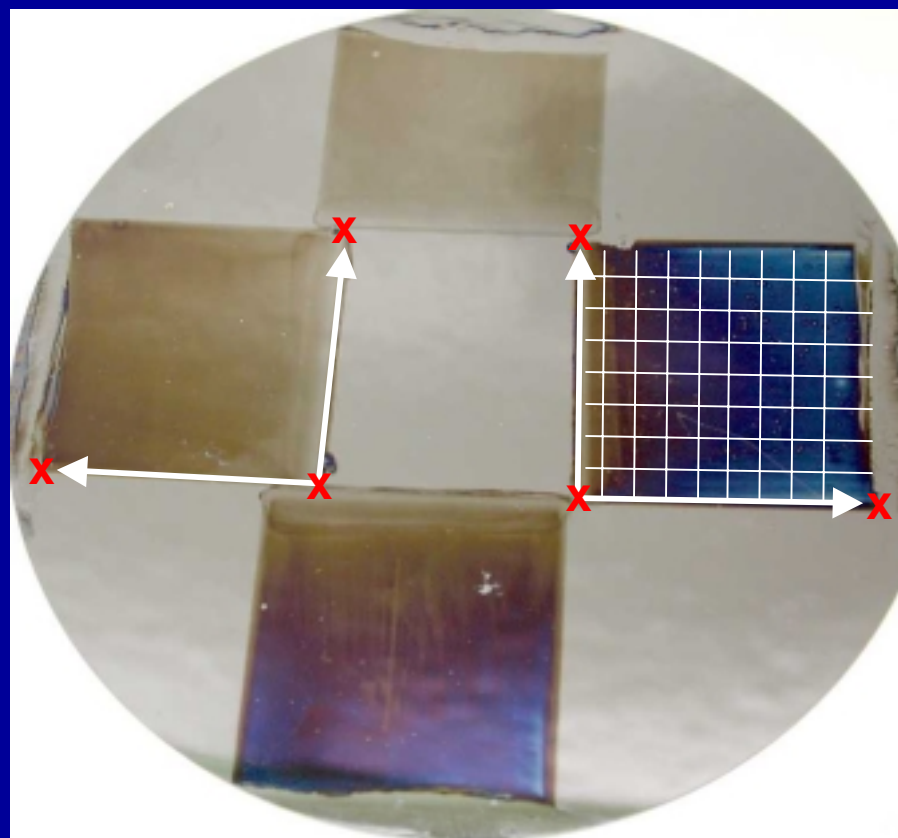
Film produced without line thickness defects



Library Calibration: Standard Reference Grids

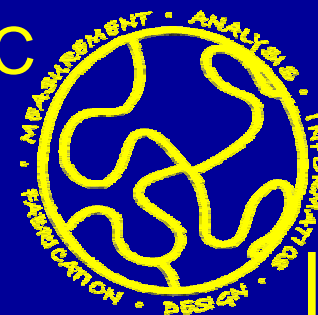
Select the library corners
as fiducial marks (x)

Define a coordinate
system and spatial
reference grid (SRG) for
each set of fiducialies



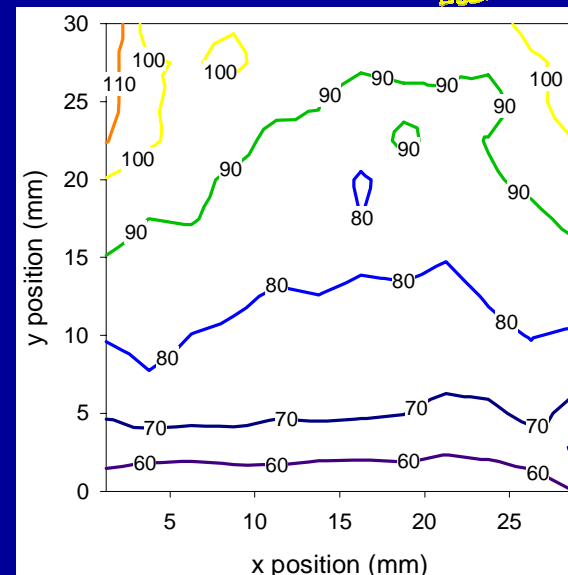
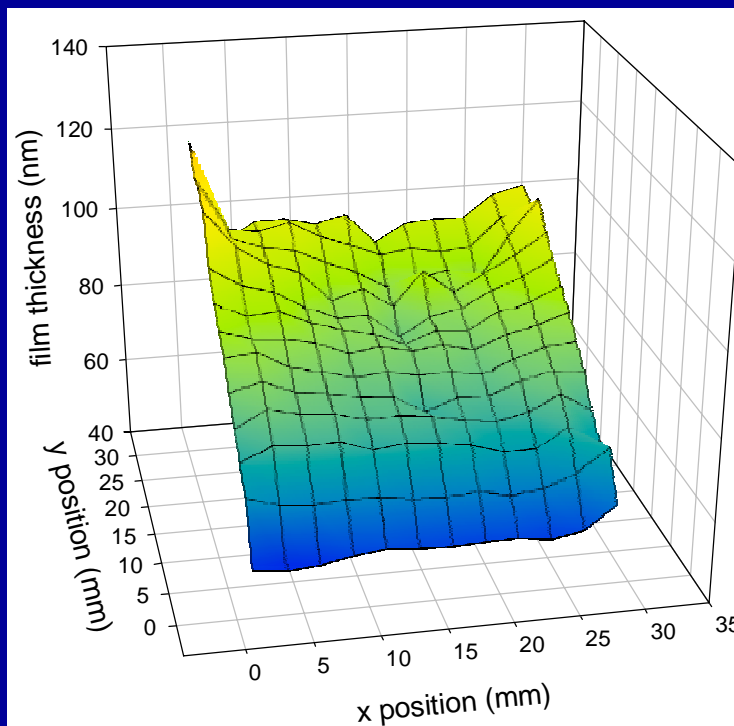
Library Calibration: Thickness Determination

NCMC



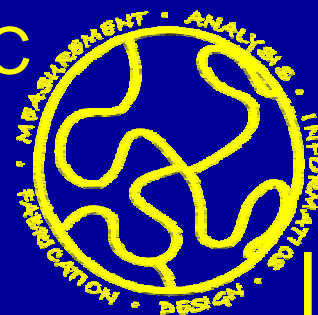
Gradients are
generally non-linear,
need to determine
thickness

Use SRG to measure
the film thickness



Convert grid
measurements into a
thickness contour map

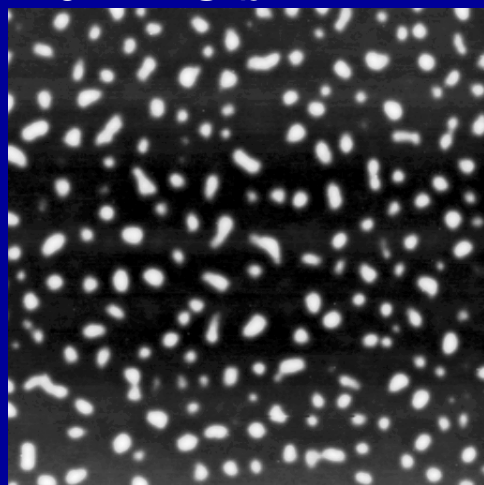
NIST



Library Calibration: Experimental Resolution

Atomic Force Micrographs of surface islands

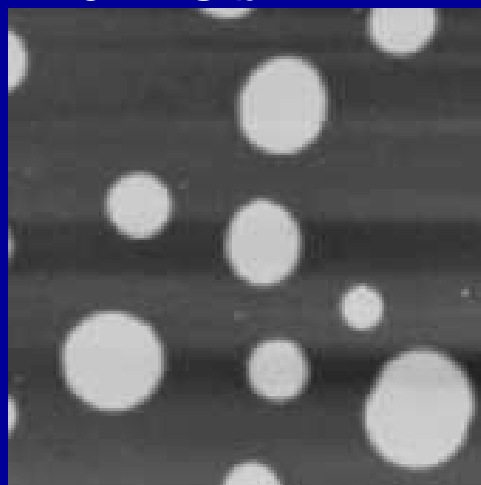
104 k PS-*b*-PMMA



— 4 μm

High M_w features are
 $\sim 1 \mu\text{m}$ so a $20 \mu\text{m}$
scan yields sufficient
features

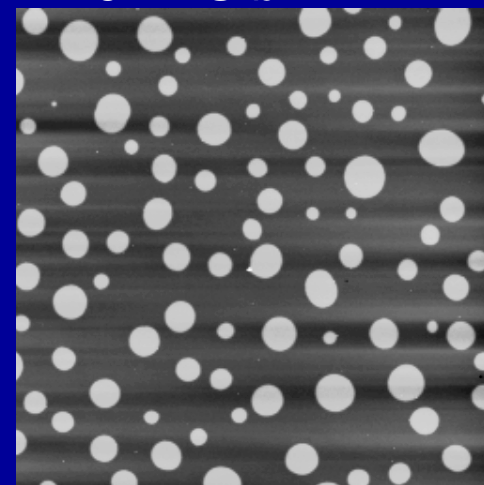
26 k PS-*b*-PMMA



— 4 μm

When M_w is decreased
a $20 \mu\text{m}$ scan yields
 < 10 islands

26 k PS-*b*-PMMA



— 10 μm

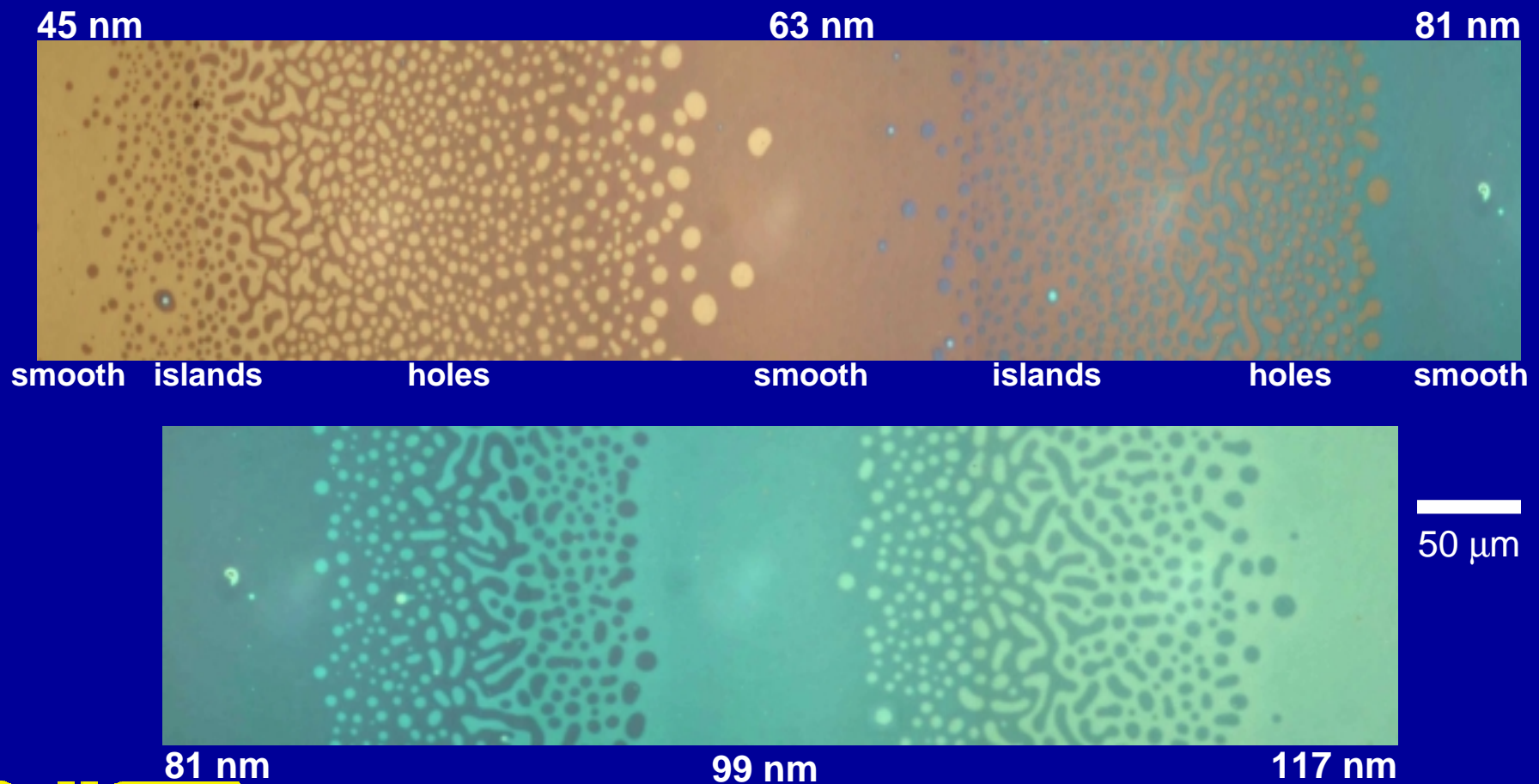
Increase scan size to
 $50 \mu\text{m}$ to increase
statistics to sufficient
levels



Library Calibration

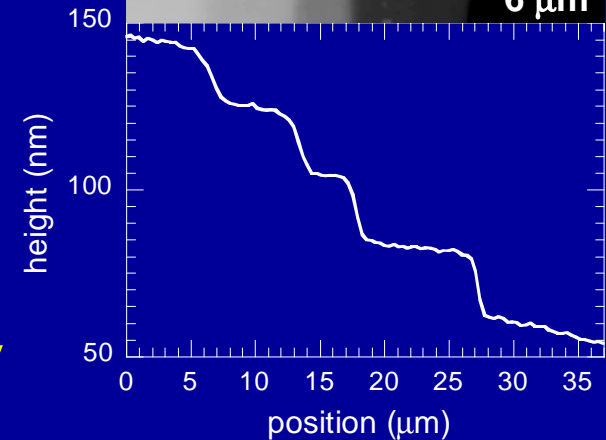
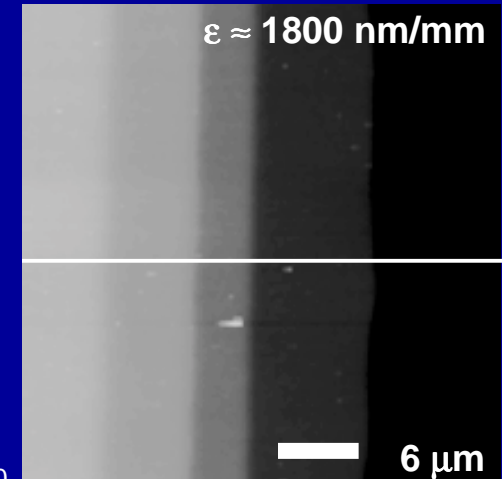
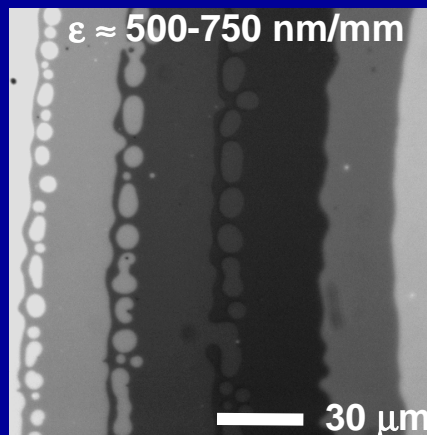
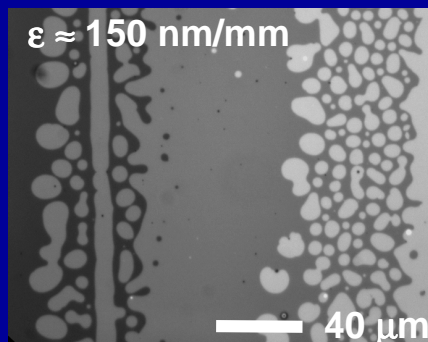
Thickness Gradient Morphology

Optical Micrographs of 26k PS-b-PMMA, annealed 6 h



Library Calibration

Effect of Gradient on Morphology

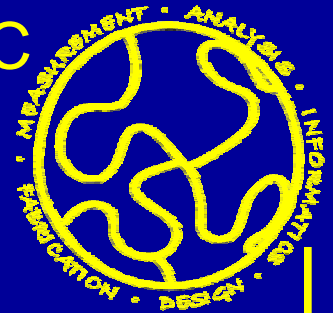


Increasing gradients induce change in morphology
Typical flow coater gradients $\sim 0.5 - 2 \text{ nm/mm}$

Although this example is beyond normal experimental range,
demonstrates possibility for gradients effecting phenomena

Design

NCMC



Definition of problem

Block copolymer thin film behavior

Initial gradient library

Film thickness Gradient

Adding an orthogonal library

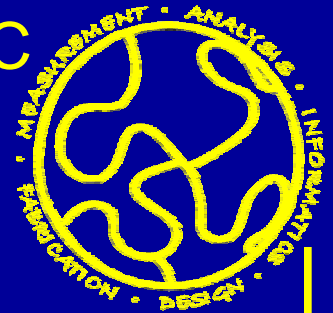
Thickness and surface energy

Exploring three dimensions

Thickness and temperature and time

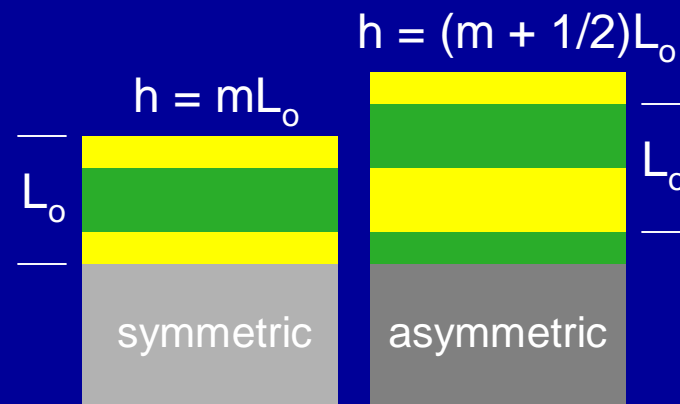
Calibration

NIST

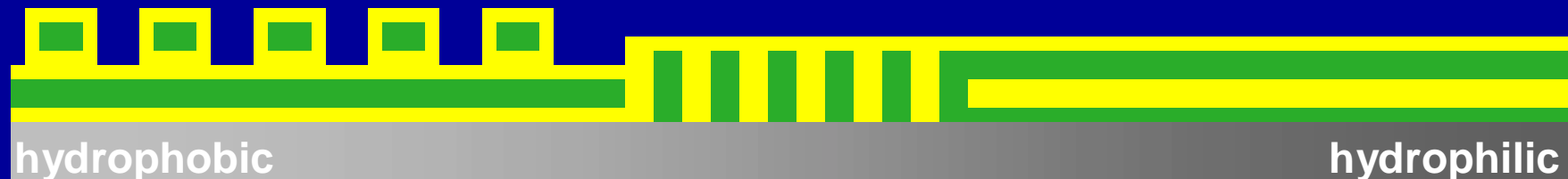


Increase Complexity: Adding a Second Gradient

Known that changing substrate energy can modify which block is near the substrate



Use substrate energy gradient orthogonal to a film thickness gradient to investigate this more thoroughly

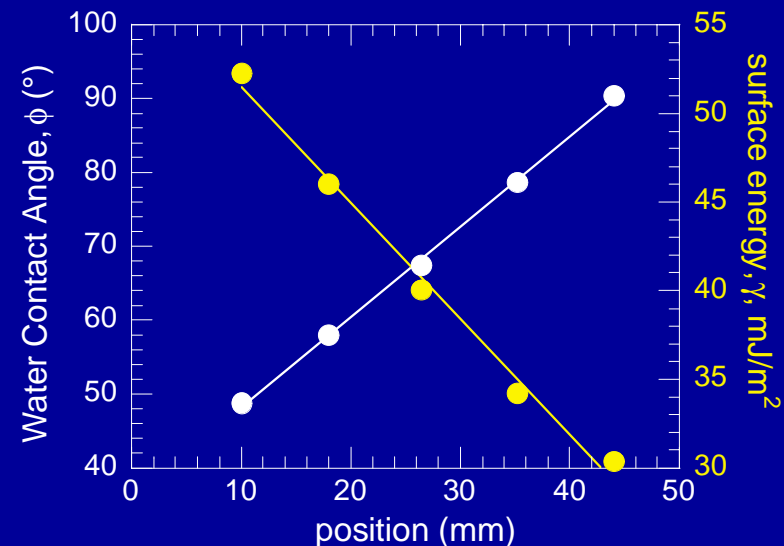
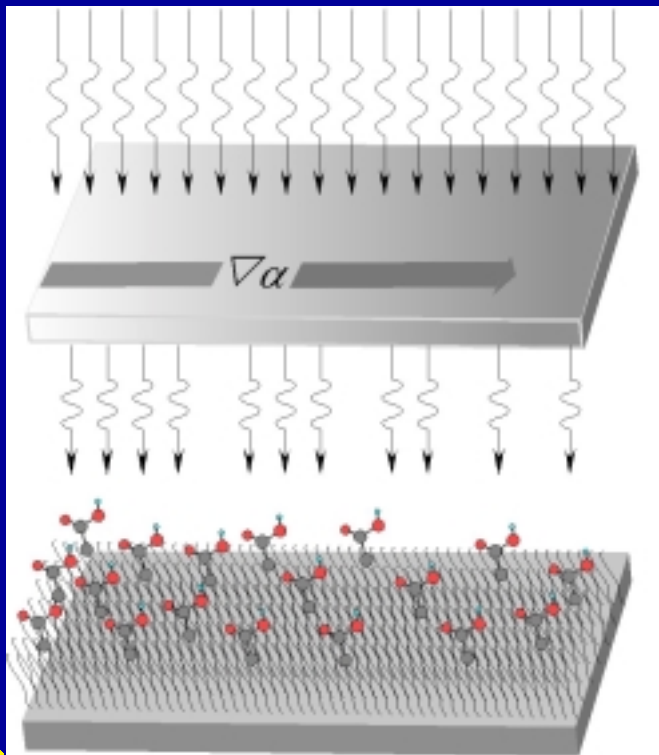


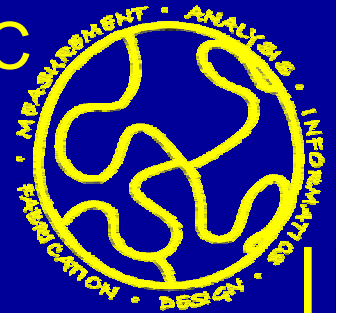
Surface Energy Gradient: Creation

Create silane self-assembled monolayer on a clean Si surface

Expose SAM to UV radiation through a fused silica gradient filter

UV exposure produces a moiety gradient across the surface resulting in a surface energy gradient





Surface Energy Gradient Sample

26k PS-b-PMMA annealed 64 hr at 170°C

~ 120 nm

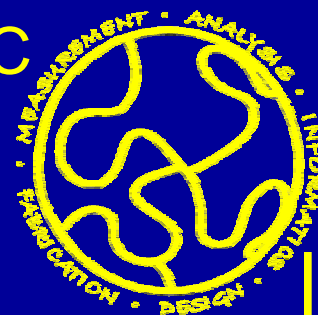
h

~ 60 nm

γ

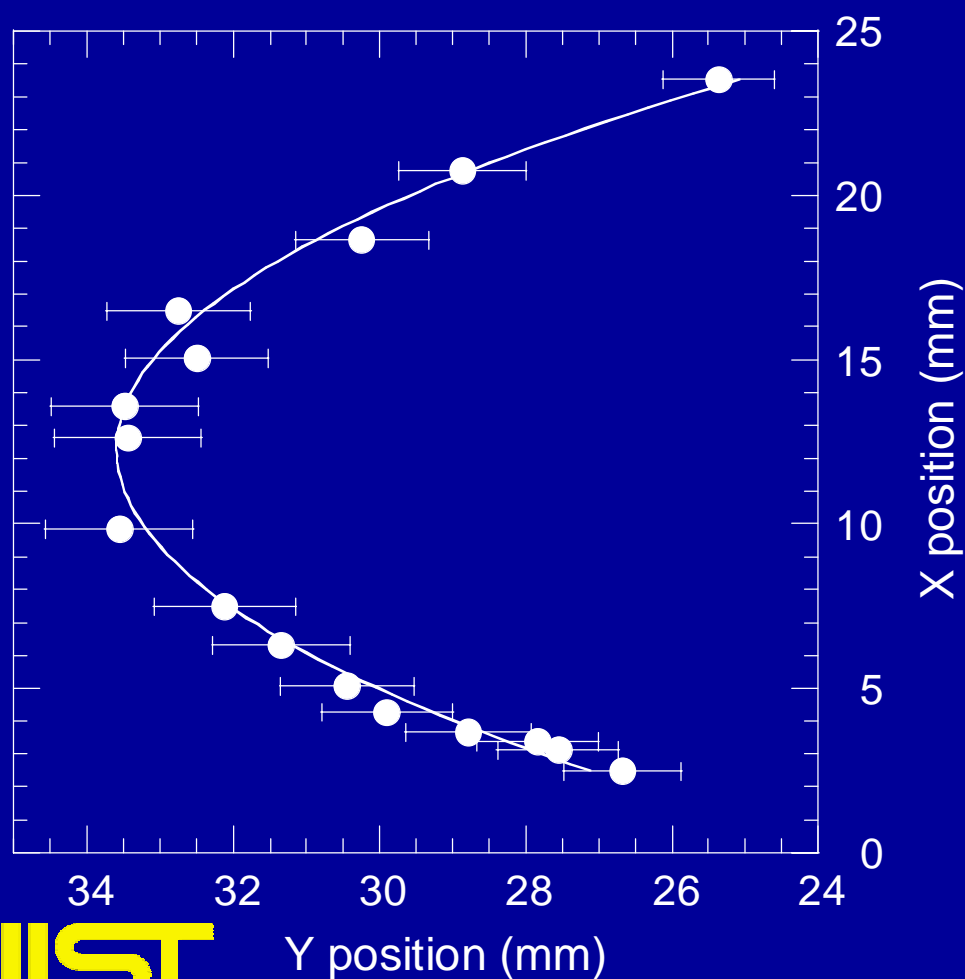
surface
patterns

smooth
regions



Neutral Point Location

Neutral point tracks $\gamma = \sim 38 \text{ mJ/m}^2$ across the sample.



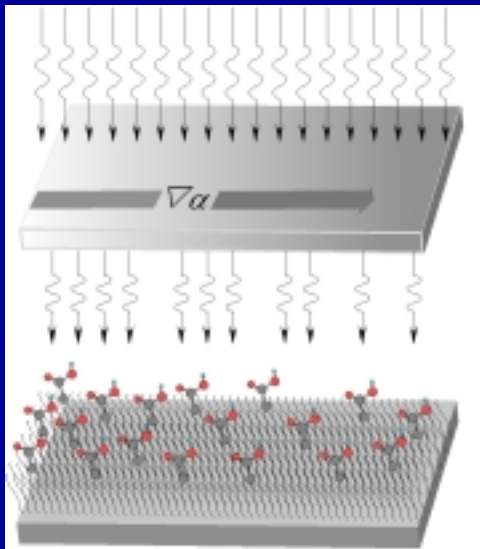
Indicative of a non-monotonic dependence of surface energy

Attributed to non-uniform diffusion of ozone between the UV-gradient filter and the substrate

Refine Technique: Surface Energy Gradient

Modification:

Increase diffusion
uniformity by increasing
the distance between
the UV gradient and the
substrate



~ 60 nm

h

~ 80 nm



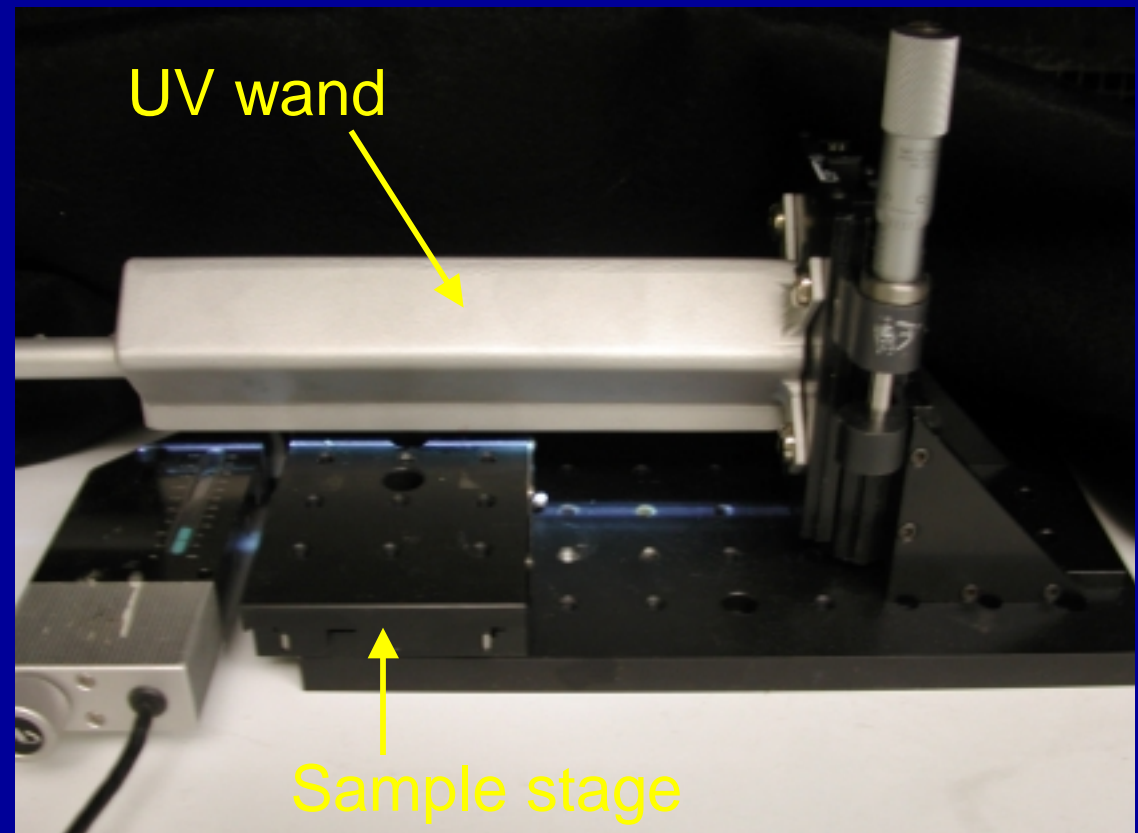
γ

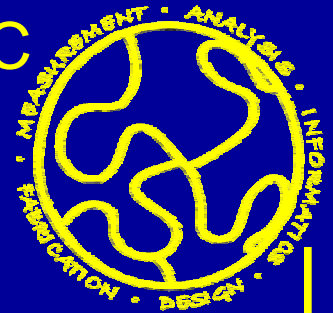
New Technique: Surface Energy Gradient

New Design:

Instead of using a UV filter, can vary the UV exposure by moving a UV wand over the substrate at variable velocity

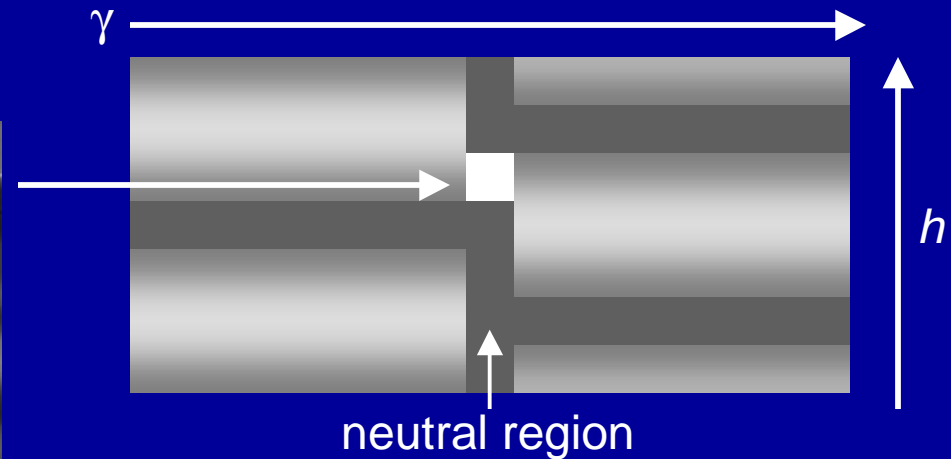
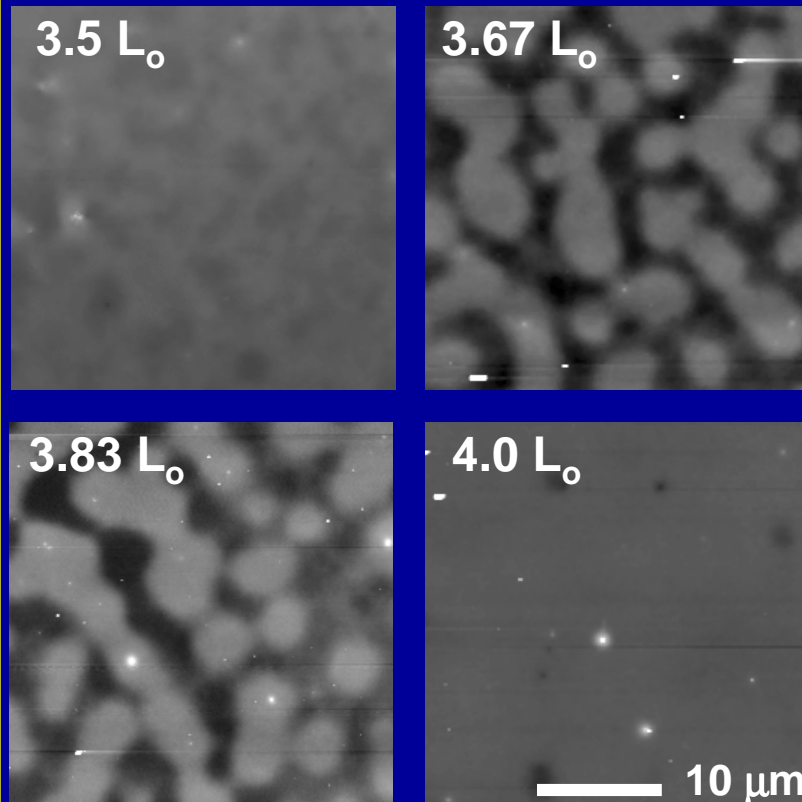
This eliminates the diffusion problem entirely





Library Calibration: Effect of Surface Energy Gradient Slope

AFM micrographs at neutral point, SHOULD BE SMOOTH



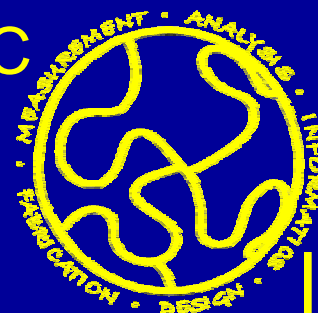
Hypothesize surface patterns propagate across the neutral region due to their proximity

Neutral region width ≈ 1 mm

Decrease slope to increase separation

Design

NCMC



Definition of problem

Block copolymer thin film behavior

Initial gradient library

Film thickness Gradient

Adding an orthogonal library

Thickness and surface energy

Exploring three dimensions

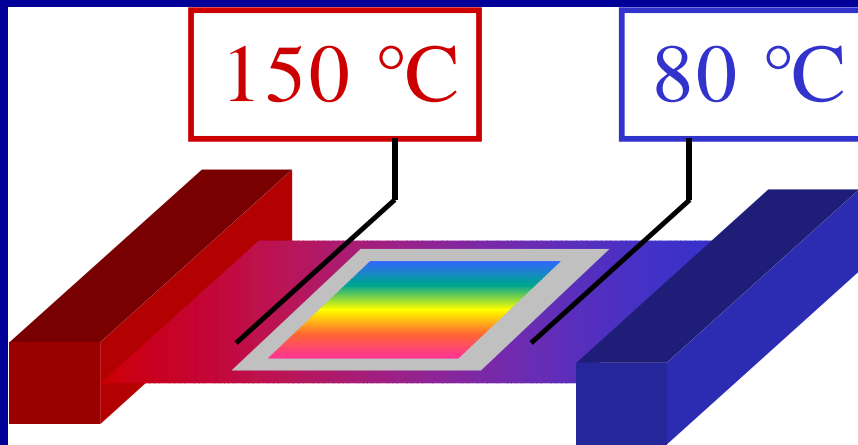
Thickness and temperature and time

Calibration

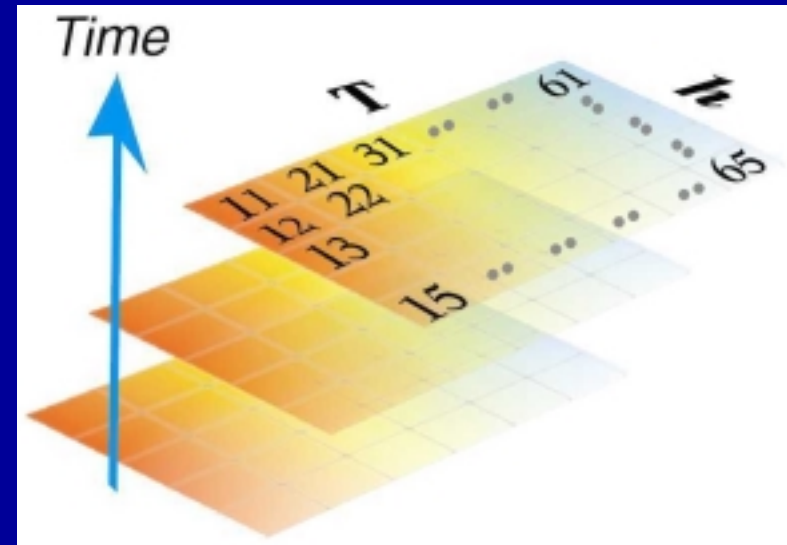
NIST

Increasing Complexity: Three Dimensions

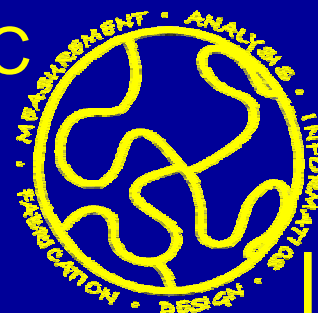
Investigate kinetics of surface patterns as a function of film thickness and annealing temperature



Use film thickness gradient
orthogonal to temperature
gradient



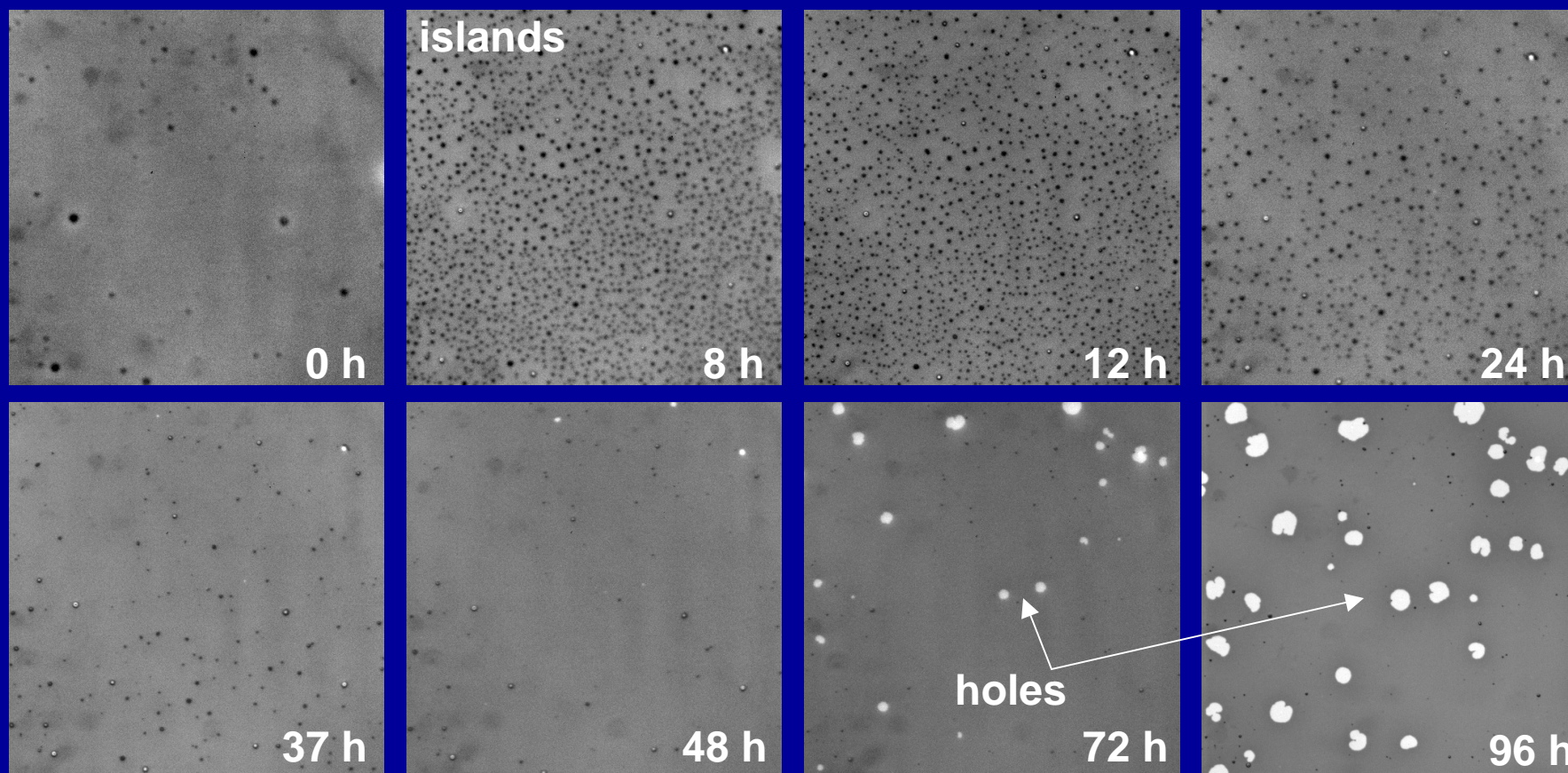
Acquire optical micrographs at
time intervals to investigate the
evolution of the film surface
morphology

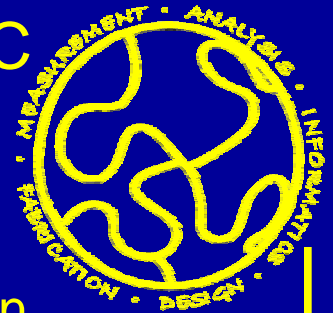


Library Calibration

Kinetics Results

Optical micrographs of block copolymer morphology
target thickness = 69 nm, expect island morphology

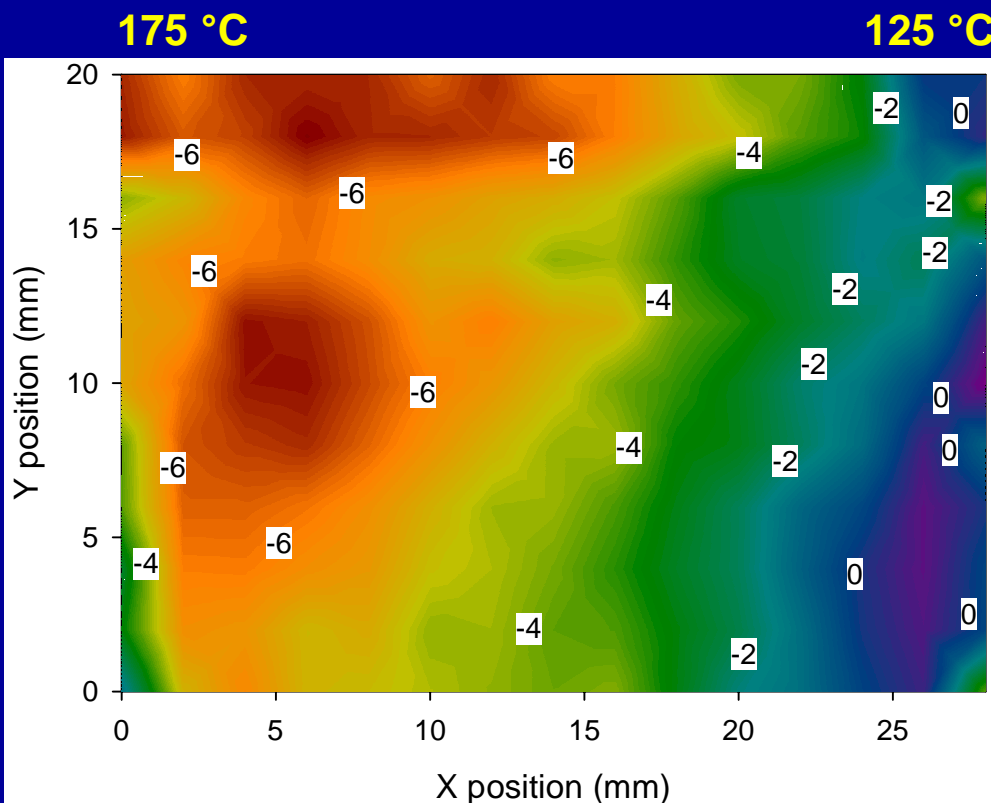




Gradient Library Limitation: Gradient “Crosstalk”

Change in morphology from island to holes indicates change in film thickness due to the temperature gradient

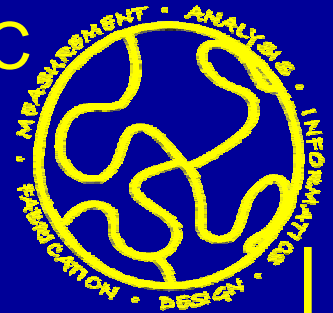
Can use SRG to measure thickness after anneal, thickness change is shown below



Sample was annealed 96 h, a relatively long time

This demonstrates how one gradient can modify the second

For the block copolymer kinetics experiment, this crosstalk is fatal



Conclusions

Demonstrated application of library design and calibration for a actual experimental problem

Block copolymer thin film ordering

Film thickness

Surface energy

Temperature

Time

Emphasized iterative nature of design and calibration steps throughout the experiment

Shown flexibility and limitations of combinatorial technique